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Exact diagonalization for spin-1/2 chains and the first order quantum phase transitions of the XXX chain in a uniform transverse field

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Abstract

A simple Mathematica code based on the differential realization of hard-core boson operators for finding exact solutions of the periodic-N spin-1/2 systems with or beyond nearest neighbor interactions is proposed; it can easily be used to study general spin-1/2 interaction systems. As an example, the code is applied to study XXX spin-1/2 chains with nearest neighbor interaction in a uniform transverse field. It shows that there are [N/2] level-crossing points in the ground state, where N is the periodic number of the system and [x] stands for the integer part of x, when the interaction strength and magnitude of the magnetic field satisfy certain conditions. The quantum phase transitional behavior in the ground state of the system in the thermodynamic limit is also studied.

As is well known, a finite periodic spin-1/2 chain with nearest neighbor interaction in a uniform transverse field is exactly solvable by using either Bethe ansatz or transfer matrix techniques [1–6]. Similar spin chain models have attracted a lot of attention recently due to the fact that they may be potentially helpful in quantum information processing [7–9] and realizable by using quantum dots, optical lattice or spin interaction systems [10–13]. Quantum phase transitions (QPTs) and entanglement in these systems are of great interest because there are intimate links between QPTs and entanglement [9, 14–16]. Though a numerical Bethe ansatz solution to the problem is possible and helpful in the large-N limit, it is too complicated and difficult to compile into a practical algorithm for cases of large but finite N. More importantly, there is still a need for a simple approach to exact solutions of spin systems beyond nearest neighbor interactions. In this paper we report an exact diagonalization algorithm for spin-1/2 systems written in Mathematica by using a differential realization of the hard-core boson operators. The simple code can easily be used to study general one-dimensional spin-1/2 interaction systems, such as XY or XYZ spin-1/2 chains. As an example, the code is applied to study XXX spin-1/2 chains with nearest neighbor interaction in a uniform transverse field,

showing that there are a series of level-crossing points when the interaction strength and magnitude of the magnetic field satisfy certain conditions similar to the situation in the XX spin chain studied in [17]. The entanglement measure [18, 19] defined in terms of the von Neumann entropy of one-body reduced density matrix is used to measure the multi-particle entanglement and reveal the QPTs in the system.

The Hamiltonian of the XXX spin- $\frac{1}{2}$ chain with nearest neighbor interaction in a uniform transverse field, for example, can then be written as

$$H_{\rm XXX} = J \sum_{i=1}^{N} \left(S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + S_i^z S_{i+1}^z \right) + h \sum_{i=1}^{N} S_i^z, \tag{1}$$

where J > 0 (< 0) corresponds to the anti-ferromagnetic (ferromagnetic) case, h is a uniform transverse field, S_i^{μ} ($\mu = x, y, z$) are spin operators satisfying the SU(2) commutation relations and the periodic condition $S_{i+N}^{\mu} = S_i^{\mu}$ is assumed.

Then, by using the hard-core boson mapping: $S_i^+ = S_i^x + i S_i^y \rightarrow b_i^{\dagger}, S_i^- = S_i^x - i S_i^y \rightarrow b_i$, and $S_i^0 = S_i^z \rightarrow b_i^{\dagger} b_i - \frac{1}{2}$, where b_i and b_i^{\dagger} satisfy $[b_i, b_j^{\dagger}] = \delta_{ij}(1 - 2b_j^{\dagger}b_j), [b_i^{\dagger}, b_j^{\dagger}] = [b_i, b_j] = 0$, and $(b_i)^2 = (b_i^{\dagger})^2 = 0$, (1) can be expressed as

$$H_{\text{XXX}} = J \sum_{i=1}^{N} \left(\frac{1}{2} (b_i^{\dagger} b_{i+1} + b_{i+1}^{\dagger} b_i) + (b_i^{\dagger} b_i - \frac{1}{2}) (b_{i+1}^{\dagger} b_{i+1} - \frac{1}{2}) \right) + h \sum_{i=1}^{N} \left(b_i^{\dagger} b_i - \frac{1}{2} \right).$$
(2)

Finally, by using the differential realizations for the boson operators with $b_i^{\dagger} \rightarrow x_i, b_i \rightarrow \partial_i$, (2) can be rewritten as

$$H_{XXX} = \mathcal{P}\left(J\sum_{i=1}^{N} \left(\frac{1}{2}(x_{i}\partial_{i+1} + x_{i+1}\partial_{i}) + (x_{i}\partial_{i} - \frac{1}{2})(x_{i+1}\partial_{i+1} - \frac{1}{2})\right) + h\sum_{i=1}^{N} \left(x_{i}\partial_{i} - \frac{1}{2}\right)\right)\mathcal{P},$$
(3)

where \mathcal{P} is an operation to project a state with $(x_i)^q = 0$ (i = 1, 2, ..., N) for $q \ge 2$ due to the hard-core restriction. Namely, the Bargmann variables $\{x_i\}$ satisfy the nilpotent condition $(x_i)^q = 0 \forall i$ when $q \ge 2$, which is nothing but the hard-core restriction for the bosons with $(b_i^{\dagger})^2 = 0$. One can easily verify that a differential realization with such restriction is consistent with the commutation relations of the hard-core boson operators.

Because the total number of bosons, $\hat{k} = \sum_{i=1}^{N} b_i^+ b_i$, is conserved, the *k*-'particle' wavefunction of (3) can be expressed in terms of *k*th order homogenous polynomials of $\{x_i\}$ with

$$F_k^{(\zeta)}(x_1, \dots, x_N) = \sum_{1 \le i_1 < i_2 < \dots < i_k \le N} C_{i_1 i_2 \cdots i_k}^{(\zeta)} x_{i_1} x_{i_2} \cdots x_{i_k},$$
(4)

where $C_{i_1i_2\cdots i_k}^{(\zeta)}$ is the expansion coefficient and ζ is used to label different eigenstate with the same *k*. Using (3) and (4), one can establish the eigenequation

$$H_{XXX}F_{k}^{(\zeta)}(x_{1},\ldots,x_{N}) = E_{k}^{(\zeta)}F_{k}^{(\zeta)}(x_{1},\ldots,x_{N})$$
(5)

which is a second order linear partial differential equation that can easily be solved with a Mathematica code³. It should be stated that the first projection \mathcal{P} at the end of (3) becomes an

³ The Mathematica code for solving the eigenequation (4) is as follows, where we set $n \equiv N$ and h = 0 in the code as an example: n = 8; k = 3; basis = Flatten[Table[x[i1]x[i2]x[i3], {i1, 1, n}, {i2, i1 + 1, n}, {i3, i2 + 1, n}]]; coefficients = Flatten[Table[c[i1, i2, i3], {i1, 1, n}, {i2, i1 + 1, n}, {i3, i2 + 1, n}]]; w = Sum[coefficients[[d]]basis[[d]], {d, 1, Flatten[Dimensions[basis]][[1]]}]; s = 0.5Sum[x[i]D[w, x[i + 1]] + x[i + 1]D[w, x[i]], {i, 1, n - 1}] + 0.5(x[1]D[w, x[n]] + x[n]D[w, x[1]]) + (Sum[x[i]x[i + 1]D[D[w, x[i]], x[i + 1]], {i, 1, n - 1}] + x[1]x[n]D[D[w, x[1]], x[n]] - kw + (n/4)w); Do[ss[q] = Coefficient[s, basis[[q]]], {q, 1, Flatten[Dimensions[basis]][[1]]}]; Do[x[i] = 0, {i, 1, n}]; st = Flatten[Table[ss[d], {d, 1, Flatten[Dimensions[basis]][[1]]}]; H = Table[Coefficient[st[[i]], coefficients[[j]]]], {i, 1, Flatten[Dimensions[basis]][[1]]}; Eigenvectors[H]; Clear[x].

identical operation since there is no $(x_i)^q$ with $q \ge 2$ occurring in (4), while the final projection \mathcal{P} should be considered in the code, which can simply be realized by setting $x_i = 0 \forall i$ after the matrix elements of the Hamiltonian being constructed. Though only an example with N = 8 and k = 3 for the XXX spin chain with nearest neighbor interaction Hamiltonian H_{XXX}/J with h = 0 is shown in footnote 1, it is obvious that the procedure shown in footnote 1 can easily be extended to more general cases, such as XY or XYZ spin- $\frac{1}{2}$ chain models with or beyond nearest neighbor interaction. It can be seen from footnote 1 that we first construct the eigenequation of the XXX model Hamiltonian in the *x*-representation. Then we can obtain the energy submatrix for any *k*, which can be output to other codes for diagonalization. Hence, the original 2^N dimensional energy matrix is reduced to N!/(N - k)!k! dimensional submatrices. Once the eigenenergy $E_k^{(\zeta)}/J$ and the corresponding eigenvector $\{C_{i_1i_2\cdots i_k}^{(\zeta)}\}$ are known after diagonalization, the final wavefunction can be expressed as

$$|k;\zeta\rangle = F_k^{(\zeta)}(b_1^{\dagger},\dots,b_N^{\dagger})|0\rangle, \tag{6}$$

where $|0\rangle$ is the boson vacuum and thus the SU(2) lowest weight state with $S_i^-|0\rangle = 0 \forall i$.

As an example of application of the code, in the following we study the quantum phase transitional behavior of the finite periodic XXX spin- $\frac{1}{2}$ chain with nearest neighbor interaction in a uniform transverse field. One can verify that there is no quantum phase transition for the ferromagnetic case with J < 0, in which the ground state of the system with J < 0remains unchanged in the variation of the magnitude of the magnetic field. Quantum phase transition occurs only in the anti-ferromagnetic cases with J > 0, which will be considered in the following. In order to investigate the QPT behavior of the system for J > 0, we set $J = J_0(1-t)$ and $h = J_0t$ with $0 \le t \le 1$, where $J_0 > 0$ is a scaling factor. It is clear that the ground state of the system is in the ferromagnetic (unentangled) phase when t = 1and in the anti-ferromagnetic long-range order (entangled) phase when t = 0. Therefore, t serves as the control parameter of the system. In the XXX case, in addition to $S_0 = k - N/2$, the total spin of the system S is also a good quantum number. Therefore, the wavefunction (5) can further be written as $|S_0 = k - N/2; S, \xi\rangle$, where the additional quantum number ξ is used to label different eigenstates with the same S and S₀. Though one can only obtain an eigenstate with fixed S_0 from the code, one may get information about the total spin S by acting on the total spin-lowering operator $S^- = \sum_{i=1}^{N} S_i^-$ to the state. For example, the state $|S_0 = -N/2; S = N/2, \xi\rangle$ must satisfy $S^-|S_0 = -N/2; S = N/2, \xi\rangle = 0$, while $|S_0 = 1 - N/2; S = N/2 - 1, \xi$ must satisfy $S^-|S_0 = 1 - N/2; S = N/2 - 1, \xi$ = 0, and so on, which enables us to find the corresponding quantum number S for each eigenstate. For Nodd cases, the eigenstates with $S_0 = k - N/2$ and S = N/2 - k for $k \neq 0$ are doubly degenerate. In such cases, the expansion coefficients $\vec{C}(\xi) = \{C_{i_1 i_2 \cdots i_k}^{(\xi)}\}$ with $\xi = 1$ and $\xi = 2$ obtained from the code are not orthogonal to each other. In such cases, we use the Gram–Schmidt orthogonalization procedure to set $\vec{C}'(\xi = 1) = \vec{C}(\xi = 1) - \vec{C}(\xi = 1) \cdot \vec{C}(\xi = 2)\vec{C}(\xi = 2)$ and keep $C(\xi = 2)$ unchanged after normalization.

It is well known that the ground state of the anti-ferromagnetic XXX spin chain is never degenerate with S = 0 for N even and four-fold degenerate with degeneracy equal to 2(2S + 1) and S = 1/2 for N odd, which all correspond to t = 0. We have verified that the ground state energy of the system is related to the following set of eigenenergies: $E_{S_0=-S,\min}^{S-N/2-k}(t) \equiv E_{\min}^k(t)$ for $k = 0, 1, \dots, [N/2]$, where [x] stands for the integer part of x. It should be stated that the ground state energy at t = 1 corresponds to $E_{\min}^{k=0}(t)$, while that at t = 0 corresponds to $E_{\min}^{k=[N/2]}(t)$. Hence, it is clear that there are also [N/2] + 1different ground states which are mutually orthogonal with the corresponding ground state energy $E_{\min}^{k=0}(t), E_{\min}^{k=1}(t), \dots, E_{\min}^{k=[N/2]}(t)$ when the control parameter t changes from 1 to 0 similar to the situation of the XX spin- $\frac{1}{2}$ chain reported in [17]. Obviously, the quantum phase

Ν	$t_{\rm c}^{(1)}$	$t_{\rm c}^{(2)}$	$t_{\rm c}^{(3)}$	$t_{\rm c}^{(4)}$	$t_{\rm c}^{(5)}$	$t_{\rm c}^{(6)}$			
2	0.666 666								
3	0.600 000								
4	0.500000	0.6666666							
5	0.566915	0.644004							
6	0.499 123	0.566401	0.666 666						
7	0.511933	0.623 396	0.655288						
8	0.343 259	0.570166	0.643 104	0.6666666					
9	0.462701	0.591 992	0.642284	0.659828					
10	0.297 378	0.527 473	0.614 872	0.652704	0.6666666				
11	0.420934	0.559842	0.621 991	0.650981	0.662104				
12	0.262455	0.490 059	0.586 57	0.634 069	0.657415	0.6666666			

Table 1. [N/2] level-crossing points for $2 \le N \le 12$.

transitions occurring in such cases are of first order [17]. It can be verified by the code that all levels with eigenenergy $E_{\min}^k(t)$ for k = 1, 2, ..., [N/2] are not degenerate for N even and $0 \le t \le 1$, while they are all two-fold degenerate for N odd and 0 < t < 1. The degeneracy of the ground state for N odd at t = 0 is 2(2S + 1) = 4, while the ground state for N odd at t = 1 is a singlet with S = N/2 and $S_0 = -N/2$.

The first order phase transition in the system occurs due to the ground state energy level-crossing of $E_{\min}^{i}(t)$ with $E_{\min}^{i+1}(t)$ for i = 0, 1, ..., [N/2] - 1 with the corresponding critical point $t_{c}^{([N/2]-i)}$, which is the root of the simple linear equation $E_{\min}^{i}(t_{c}^{([N/2]-i)}) =$ $E_{\min}^{i+1}(t_{c}^{([N/2]-i)})$ for i = 0, 1, 2, ..., [N/2] - 1. There are [N/2] such level-crossing points, indicating that there are [N/2] + 1 different ground states within the control parameter range $0 \leq t \leq 1$. Figure 1 clearly shows the ground state level-crossings in the entire control parameter range for the cases N = 2, 4, 5, 6, 8 and 12. It is obvious that there are [N/2]level-crossing points dividing the ground state into [N/2] + 1 different parts, each of which is within a specific t range when N is a finite number. With N increasing, however, these specific ranges become smaller and smaller, and finally tend to infinitesimal; thus the ground state level becomes a continuous phase before crossing to the E_{\min}^{0} level. Therefore, there will be only one obvious critical point when $N \to \infty$. One can verify that the critical point $t_{c}^{([N/2])} = 2/3$ is N-independent for N even, while it will tend to 2/3 for N odd when $N \to \infty$. Nevertheless, other level-crossing point $t_{c}^{(i)}$ values are N-dependent, of which some examples are listed in table 1.

Entanglement measure in the model is one of the important quantities for characterizing its QPT behavior, and is often studied by using block–block entanglement defined in terms of von Neumann entropy [15] or by using the Wootters concurrence [20], e.g. that shown in [21, 22]. In the following, we use the simple measure proposed in [17–19] with

$$\eta(\Psi) = -\frac{1}{N} \sum_{i=1}^{N} \operatorname{Tr} \{ (\rho_{\Psi})_i \log(\rho_{\Psi})_i \}$$
(7)

if all *N* terms in the sum are non-zero, otherwise $\eta(\Psi) = 0$, where Ψ stands for the ground state wavefunction and $(\rho_{\Psi})_i$ is the reduced density matrix with the *i*th spin- $\frac{1}{2}$ fermion only, which is similar to the genuine multipartite entanglement proposed in [23]. In fact, the twosite entanglement [15, 24] and the block–block entanglement [21, 22] are different views of the local to local correlations. In such cases, the scaling behavior of the measure has been observed, especially in the large-*N* limit [21, 22]. In contrast to the measure defined in terms of local von Neumann entropy, which provides information about local entanglement only, the measure (7) provides information about overall quantum correlations among all sites in the



Figure 1. Energy level-crossings related to the ground state of the anti-ferromagnetic case as functions of t for different N values, where the eigenenergy is denoted by E, and the quantum number $S = -S_0$ for each level is labeled accordingly.

chain. It has been shown [17-19, 22, 25] that (7) is also suitable for measuring genuine Nbody entanglement in a quantum many-body system. We observed that $(\rho_{\Psi})_i$ is *i*-independent for the ground state in the system for cases with N even, while it becomes *i*-dependent for N odd cases. Hence, the entanglement measure η for N even cases can be simply defined by the reduced von Neumann entropy for any site, while it should be calculated separately for Nodd cases. Table 2 shows ground state entanglement in different t ranges for $N = 2, \ldots, 6$, respectively, in which the entanglement type of the ground state in each t range is indicated. For example, the state is a linear combination of several GHZ-like states for N = 4 with $0 \le t < 0.5$, while it consists of two-fold degenerate pairs which are all linear combinations of several W-like states for N = 5 with $0 \le t < 0.566915$. It is clear that the ground state entanglement measure gradually increases while the control parameter t decreases, which is also characterized by the quantum numbers S and S^0 . In the ferromagnetic (unentangled) phase, S = N/2 and S^0 reaches its lowest value with $S^0 = -N/2$, while $S = S_0 = 0$ $(S = -S_0 = 1/2)$ when $t < t_c^{(1)}$ for N even (odd), in which the spin-up and -down fermions are most strongly correlated in comparison to that in other phases. In the most entangled longrange order phase, N even systems are most entangled with $\eta = 1$ which is always greater than those of the nearest N odd systems. Furthermore, the degeneracy is doubled at the levelcrossing points $t = t_c^{(j)}$. For N even cases, the ground state is not degenerate if the control parameter t is not at those [N/2] level-crossing points, while it becomes two-fold degenerate when $t = t_c^{(j)}$ for any j due to the level-crossing. For N odd cases, the ground state is four-fold degenerate at t = 0 and is a singlet when $t > t_c^{([N/2])}$. Besides those two cases, the ground state is two-fold degenerate with $S = -S_0 = -k + N/2$ for $k = 0, 1, 2, \dots, [N/2]$ if the control parameter t is not at those [N/2] level-crossing points, while it becomes four-fold degenerate when $t = t_c^{(j)}$ for any j due to the level-crossing. However, these degenerate states at the level-crossing points are still distinguishable from each other by the quantum number S and S^0 with their difference $\Delta(S^0) = \Delta(S) = \pm 1$ and by values of the entanglement measure of the degenerate states. As a consequence, for the N even case, the ground state is not degenerate when t = 0; it becomes two-fold degenerate everywhere when the control parameter t is within the half-open interval $t \in (0, 2/3]$ because the level-crossing points are dense everywhere in this control parameter range in the $N \rightarrow \infty$ limit; and finally it becomes not degenerate again

N	Entanglement type in each phase			
2	$S = -S_0 = 1$ Fully separable $(\eta = 0) 2/3 < t \leq 1$	$S = -S_0 = 0$ Bell ($\eta = 1$) $0 \leq t < 2/3$		
3	$S = -S_0 = 3/2$ Fully separable $(\eta = 0) \ 0.6 < t \leq 1$	$\begin{split} S &= -S_0 = 1/2 \\ \xi = 1\rangle \text{ is partially separable} \\ (\eta_1 = 0) \\ \xi = 2\rangle \text{ is a W combination} \\ (\eta_2 = 0.739447) \\ 0 \leqslant t < 0.6 \end{split}$		
4	$S = -S_0 = 2$ Fully separable $(\eta = 0) 2/3 < t \leq 1$	$S = -S_0 = 1$ W ($\eta = 0.811278$) 0.5 < t < 2/3	$S = -S_0 = 0$ GHZ combination $(\eta = 1)$ $0 \le t < 0.5$	
5	$S = -S_0 = 5/2$ Fully separable $(\eta = 0)$ $0.644004 < t \leq 1$	$\begin{split} S &= -S_0 = 3/2 \\ \xi = 1\rangle \text{ is a W combination} \\ (\eta_1 &= 0.610281) \\ \xi = 2\rangle \text{ is a W combination} \\ (\eta_2 &= 0.619557) \\ 0.566915 < t < 0.644004 \end{split}$	$\begin{split} S &= -S_0 = 1/2 \\ \xi = 1\rangle \text{ is a W combination} \\ (\eta_1 = 0.858927) \\ \xi = 2\rangle \text{ is a W combination} \\ (\eta_2 = 0.858501) \\ 0 \leqslant t < 0.566915 \end{split}$	
6	$S = -S_0 = 3$ Fully separable $(\eta = 0) 2/3 < t \le 1$	$S = -S_0 = 2$ W ($\eta = 0.650022$) 0.566 401 < t < 2/3	$\begin{split} S &= -S_0 = 1 \\ \text{W combination} \\ (\eta &= 0.918\ 296) \\ 0.499\ 123 < t < 0.566\ 401 \end{split}$	$S = -S_0 = 0$ GHZ combination $(\eta = 1)$ $0 \le t < 0.499$ 123

Table 2. Ground state entanglement with each quantum phase for N = 2, ..., 6.

when $2/3 < t \le 1$. For the N odd case, the ground state is four-fold degenerate when t is within the closed interval $t \in [0, 2/3]$ in the $N \to \infty$ limit; and it becomes not degenerate when $2/3 < t \le 1$. Nevertheless, the property of the degenerate states at t = 0 and that within $0 < t \le 2/3$ are different for the N odd case. The four-fold degenerate states at t = 0 come from the double occurrence of S = 1/2, while two states from $S = -S_0 = -k + N/2$ and another two from $S = -S_0 = -(k + 1) + N/2$ form the corresponding four-fold degeneracy for $0 < t \leq 2/3$. However, it has been proved, at least for small-N cases, that GHZ- and Wtype states are inequivalent under the stochastic local operations and classical communication (SLOCC) transformations [25–27]. Therefore, the ground state should be classified into three phases in the thermodynamic limit for the N even case under SLOCC. These three phases are one non-degenerate entangled GHZ-type phase at t = 0 with $\eta = 1$, one two-fold degenerate entangled W-type phase with $t \in (0, 2/3]$ and $0 < \eta < 1$ and one non-degenerate fully separable phase with $t \in (2/3, 1]$ and $\eta = 0$. But such QPT classification is only meaningful under SLOCC. For the N odd case the situation is different. There is one four-fold degenerate entangled W-type phase with $t \in [0, 2/3]$ and $0 < \eta < 1$, and one non-degenerate fully separable phase with $t \in (2/3, 1]$ and $\eta = 0$.

In summary, a Mathematica code based on the differential realization of hard-core boson operators for constructing an energy matrix of the periodic-N spin-1/2 systems with or beyond nearest neighbor interactions is proposed, which can easily be used to study general spin-1/2 interaction systems, such as XY or XYZ spin-1/2 chains. As an example, the code is applied to study the anti-ferromagnetic XXX spin-1/2 chain with nearest neighbor interaction in a uniform transverse field. The study shows how the ground state of the model evolves from the ferromagnetic phase to the anti-ferromagnetic long-range order phase when decrease of

the control parameter t introduced. In addition, we have shown that there are [N/2] levelcrossing points, of which the middle part will become a continuous one in the large-N limit leading to a three-phase result in the thermodynamic limit for the N even case under SLOCC, while there is only one entangled W-type phase and one separable phase in the large-N limit for the N odd case. The effect of a magnetic field on the concurrence and resulting levelcrossing has been investigated by many groups [28–30], especially the work reported in [28], of which the $J_2 = 0$ case corresponds directly to the XXX spin-1/2 chain with nearest neighbor interaction reported in this paper. Though only a 10-qubit system was considered in [28], the level-crossings related to the ground state of the system are quite similar to the results reported in the present work. Though the level patterns and the level-crossing positions are different from those of the XX spin-1/2 chain studied previously in [17] and the LMG model in [30], the quantum phase transition behavior in all these systems is similar, and should be common to other spin interaction systems in a uniform transverse field.

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